

### Some solutions to Problem Set 11.

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1. (a)  $X \setminus A$  is open in  $X$  since  $A$  is closed in  $X$ , and  $Y$  is open in  $Y$ . Hence  $(X \setminus A) \times Y$  is open in  $X \times Y$ , and  $A \times Y$  is closed in  $X \times Y$ . Similarly  $X \times (Y \setminus B)$  is open in  $X \times Y$ , so  $X \times B$  is closed in  $X \times Y$ . Hence  $A \times B = (A \times Y) \cap (X \times B)$  is closed in  $X \times Y$ .

(b) Let  $W = \{(x, y) \in \mathbb{R}^2 : xy = 1\}$ . Then  $W$  is closed in  $\mathbb{R} \times \mathbb{R}$  since it is the preimage  $f^{-1}(\{1\})$  of a closed set under the continuous map  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ ,  $f(x, y) = xy$ . But  $\pi_1(W) = \mathbb{R} - \{0\}$  is not closed in  $\mathbb{R}$ .

2. Assume  $X$  is Hausdorff and let  $(x, y) \in X \times X \setminus \Delta$ . Then  $x \neq y \in X$  so there exist disjoint open sets  $U, V$  with  $x \in U$  and  $y \in V$ . If  $(a, b) \in U \times V$  then  $a \neq b$ , hence  $U \times V \subset X \times X \setminus \Delta$ . But  $W(x, y) = U \times V$  is open in the product topology, hence  $X \times X \setminus \Delta = \bigcup \{W(x, y) : (x, y) \in X \times X \setminus \Delta\}$  is open and  $\Delta$  is closed in  $X \times X$ .

Conversely, assume  $\Delta$  is closed, so  $X \times X \setminus \Delta$  is open. Now the product topology on  $X \times X$  has a basis consisting of products  $U \times V$  where  $U$  and  $V$  are open in  $X$ . Given  $x, y \in X$  with  $x \neq y$ , we have  $(x, y) \in X \times X \setminus \Delta$ , hence there exist open sets  $U$  and  $V$  in  $X$  such that  $(x, y) \in U \times V \subset X \times X \setminus \Delta$ . Then  $x \in U, y \in V$  and  $U \cap V = \emptyset$ . Hence  $X$  is Hausdorff.

3. For (c) and (d) consider the projection  $\pi_j : X \rightarrow X_j$ . Since  $X$  is compact (connected) and  $\pi_j$  is continuous, then  $\pi_j(X)$  is compact (connected). And since  $\pi_j(X) = X_j$ , the space  $X_j$  is compact (connected).

For (a) and (b), fix points  $x_i \in X_i$  for  $1 \leq i \leq n$  and define  $f_j : X_j \rightarrow X$  by  $f_j(x) = (x_1, \dots, x_{j-1}, x, x_{j+1}, \dots, x_n)$ . This is a homeomorphism  $X_j$  onto  $f_j(X_j)$  in  $X$ , whose inverse is the restriction of the projection  $\pi_j$  from  $f_j(X_j)$  to  $X_j$ .

Assume that  $X$  is normal and let  $A, B$  be disjoint closed subsets of  $X_j$ . Then  $f_j(A), f_j(B)$  are disjoint closed subsets of  $f_j(X_j)$ , and  $f_j(X_j)$  is closed in  $X$  by Q1 (and induction). Hence  $f_j(A), f_j(B)$  are disjoint closed subsets of  $X$ , so there are disjoint open sets  $U$  and  $V$  in  $X$  such that  $f_j(A) \subset U$  and  $f_j(B) \subset V$ . Then  $U' = U \cap f_j(X_j)$  and  $V' = V \cap f_j(X_j)$  are disjoint open subsets of  $f_j(X_j)$ , and  $\pi_j(U'), \pi_j(V')$  are disjoint open subsets of  $X_j$  containing  $A, B$  respectively. Hence  $X_j$  is normal. (a) is proved in the same way.

4. (a)  $\{f_n\}$  is pointwise bounded since  $|f_n(x)| \leq |x| + 1$  for all  $n$ , but it is not equicontinuous. Indeed, take  $x_n = \frac{\pi}{2n}$  and  $y_n = \frac{\pi}{n}$ . Then  $|x_n - y_n| = \frac{\pi}{2n} \rightarrow 0$  as  $n \rightarrow \infty$  but  $|f_n(x_n) - f_n(y_n)| \geq |\sin(nx_n) - \sin(ny_n)| - |x_n - y_n| = 1 - \frac{\pi}{2n} \rightarrow 1$ .

(b)  $\{g_n\}$  is not pointwise bounded since  $|g_n(x)| \geq n - 1$  but is equicontinuous. Indeed,  $|g_n(x) - g_n(y)| = |\sin x - \sin y| \leq |x - y|$  by the mean value theorem. Hence given  $\varepsilon > 0$  we can choose  $\delta = \varepsilon$ , then  $|x - y| < \delta$  implies  $|g_n(x) - g_n(y)| < \varepsilon$  for all  $n \in \mathbb{N}$ .

(c)  $\{h_n\}$  is pointwise bounded since  $|h_n(x)| \leq 1$  if  $|x| \leq 1$  and  $|h_n(x)| = |x|^{1/n} \leq |x|$  if  $|x| \geq 1$ . If  $\{h_n\}$  is equicontinuous then for  $\varepsilon = 1/2$  there is  $\delta > 0$  such that  $|0 - x| = |x| < \delta$  implies  $|x|^{1/n} < 1/2$  for all  $n \in \mathbb{N}$ . But if we take  $x_n = 1/n$ , then  $h_n(x_n) = \frac{1}{\sqrt[n]{n}} \rightarrow 1$ , contradiction.

(d)  $\{k_n\}$  is pointwise bounded. Indeed,  $|\sin(x/n)| \leq |x|/n$ . So  $|k_n(x)| \leq |x|$ . Moreover,  $|k_n(x) - k_n(y)| = n|\sin(x/n) - \sin(y/n)| \leq n \cdot |(x/n) - (y/n)| = |x - y|$ . Hence the family  $\{k_n\}$  is equicontinuous.

**5.** The family  $\mathcal{F}$  is equicontinuous and bounded. To see the equicontinuity let  $\varepsilon > 0$  and take  $0 < \delta < \varepsilon/M$ . Then for any  $x, y \in [a, b]$  such that  $|x - y| < \delta$  and all  $f \in \mathcal{F}$ , the mean value theorem gives:

$$|f(x) - f(y)| = |f'(\eta)| \cdot |x - y|$$

for some  $\eta$  between  $x$  and  $y$ . Hence

$$|f(x) - f(y)| \leq M|x - y| \leq M \cdot (\varepsilon/M) = \varepsilon.$$

Moreover, for all  $x \in [a, b]$  and all  $f \in \mathcal{F}$ ,

$$|f(x)| \leq |f(x_0)| + |f(x_0) - f(x)| \leq M + M(b - a).$$

So  $\mathcal{F}$  is bounded. By the Ascoli-Arzelà theorem every sequence in  $\mathcal{F}$  has a uniformly convergent subsequence, and  $\overline{\mathcal{F}}$  is compact in  $C[a, b]$ .

**6.** (a) Consider the space  $C(X, X)$  with the uniform metric  $d_\infty$ . By the Ascoli-Arzelà theorem some subsequence of  $\{I_n\}$  converges to a continuous map  $f : X \rightarrow X$ . We have to show that  $f$  is an isometry. Without loss of generality we assume that  $d_\infty(I_n, f) \rightarrow 0$ . If  $x, y \in X$ , then

$$d(f(x), f(y)) = \lim_n (I_n(x), I_n(y)) = \lim_n d(x, y) = d(x, y).$$

So  $f$  preserves distance, in particular, it is injective. Since  $X$  is compact and  $f$  preserves distance,  $f(X) = X$  (this is the content of a problem on one of the previous problem sheets). Thus,  $f$  is an isometry from  $X$  onto  $X$ .

(b) Let  $\{I_{n_k}\}$  be any subsequence of  $\{I_n\}$ . Then  $\{I_{n_k}^{-1}\}$  is a sequence of isometries so by the above it has a subsequence  $\{I_{n_{k_l}}^{-1}\}$  converging to some isometry  $g$ . Now if  $f_n, g_n : X \rightarrow X$  are sequences of isometries converging in  $C(X, X)$  to  $f, g$  respectively, then  $f_n \circ g_n \rightarrow f \circ g$  in  $C(X, X)$ , since

$$\begin{aligned} d(f_n(g_n(x)), f(g(x))) &\leq d(f_n(g_n(x)), f_n(g(x))) + d(f_n(g(x)), f(g(x))) \\ &= d(g_n(x), g(x)) + d(f_n(g(x)), f(g(x))) \\ &\leq d_\infty(g_n, g) + d_\infty(f_n, f) \rightarrow 0 \text{ as } n \rightarrow \infty. \end{aligned}$$

Thus

$$\text{id} = I_{n_{k_l}} \circ I_{n_{k_l}}^{-1} \rightarrow f \circ g \quad \text{and} \quad \text{id} = I_{n_{k_l}}^{-1} \circ I_{n_{k_l}} \rightarrow g \circ f.$$

So  $f \circ g = \text{id}$  and  $g \circ f = \text{id}$ , that is,  $g = f^{-1}$ . Since this holds for any subsequence  $\{I_{n_k}\}$  of  $\{I_n\}$ , it follows that  $I_n^{-1} \rightarrow I^{-1}$  provided that  $I_n \rightarrow I$  in  $C(X, X)$ .

(c) This follows from (a) since any 3 by 3 orthogonal matrix  $A$  defines an isometry  $A : S^2 \rightarrow S^2$  and  $S^2$  is compact.

**6.** (a) For disjoint closed non-empty sets  $A$  and  $B \subset X$  define

$$f(x) = \frac{d(x, A)}{d(x, A) + d(x, B)}.$$

The function is well-defined since  $d(x, A) + d(x, B) > 0$  for all  $x \in X$ . (If  $d(x, A) + d(x, B) = 0$ , then  $d(x, A) = 0 = d(x, B)$  and since  $A, B$  are closed,  $x \in A$  and  $x \in B$ , contradicting  $A \cap B = \emptyset$ .) Moreover,  $f$  is continuous since  $x \mapsto d(x, A)$  and  $x \mapsto d(x, B)$  are continuous. The function  $f$  is equal to 0 if and only if  $d(x, A) = 0$  if and only if  $x \in A$  since  $A$  is closed. Similarly,  $f(x) = 1$  if and only if  $d(x, B) = 0$  if and only if  $x \in B$ .

(b) Let  $C$  be a closed subset of  $\mathbb{R}$  and let  $f : C \rightarrow \mathbb{R}$  be a bounded continuous function. The set  $\mathbb{R} \setminus C$  is an open subset of  $\mathbb{R}$  and so it can be represented as a countable union of disjoint open intervals,  $\mathbb{R} \setminus C = \bigcup_{n \in \mathbb{N}} (a_n, b_n)$ . (See notes, p 48.) The points  $a_n, b_n \in C$  if the interval  $(a_n, b_n)$  is bounded; if  $(a_n, b_n) = (-\infty, b_n)$ , then  $b_n \in C$ ; and if  $(a_n, b_n) = (a_n, \infty)$ , then  $a_n \in C$ . So define  $h : \mathbb{R} \rightarrow \mathbb{R}$  as follows. We set  $h(x) = f(x)$  for  $x \in C$ . If  $(a_n, b_n) = (-\infty, b_n)$  we set  $h(x) = f(b_n)$  for  $x \in (-\infty, b_n)$ . If  $(a_n, b_n) = (a_n, \infty)$ , we set  $h(x) = f(a_n)$  for  $x \in (a_n, \infty)$ . If  $(a_n, b_n)$  is a bounded interval we set

$$h(x) = \frac{f(b_n) - f(a_n)}{b_n - a_n} \cdot (x - a_n) + f(a_n), \quad x \in (a_n, b_n).$$

Then  $h$  is a bounded continuous function such that  $h(x) = f(x)$  for  $x \in C$ .

(c) Assume that  $X$  is the Hausdorff space on which Tietze's extension theorem holds. Take two non-empty disjoint closed subsets  $A, B$  of  $X$ . The set  $A \cup B$  is closed in  $X$ . Then the function  $f : A \cup B \rightarrow \mathbb{R}$  defined by  $f(x) = 0$  if  $x \in A$  and  $f(x) = 1$  if  $x \in B$  is continuous. Hence there is a continuous function  $h : X \rightarrow \mathbb{R}$  such that  $h = f$  on  $A \cup B$ . Set  $U = f^{-1}((-\infty, 1/3))$  and  $V = f^{-1}((2/3, \infty))$ . These are open disjoint sets and satisfy  $A \subset U$  and  $B \subset V$ . Hence  $X$  is normal as claimed. The converse is just Tietze's extension theorem.